

24 NCAC 06A .0814 WAGERING FACILITY SECURITY PROCEDURES

(a) The Internal Controls shall include appropriate Wagering Facility security procedures to enable a suitable response to a security issue within the Wagering Facility and prevent a Person from tampering with or interfering with the operation of Sports Wagering or Pari-Mutuel Wagering.

(b) The Wagering Facility shall establish provisions describing the duties and operation of its facility security department, which shall include details relative to the design, construction, and location of primary and secondary armored car routes, including provisions for the security of these routes.

(c) The Wagering Facility shall use an incident reporting system to document incidents and activities within the Wagering Facility.

- (1) Facility security procedures approved by the Directors shall be implemented for reporting:
 - (A) an Individual engaged in, attempting to engage in, or suspected of cheating, theft, embezzlement, or other illegal activities;
 - (B) an Individual possessing a firearm, electronic control device, dangerous weapon, or other device or object prohibited under the Act and these Rules;
 - (C) an Individual in the Wagering Facility who is an Ineligible Person, impaired due to alcohol or other substance ingestion, or a potential victim of human trafficking;
 - (D) all camera, system or recording outages;
 - (E) routine tasks accomplished by Wagering Facility security or surveillance personnel at the request of another team; and
 - (F) suspicious incidents observed.
- (2) Violations of the Act or these Rules shall be identified in the incident reporting system.
- (3) The Commission and the Director shall have real-time read-only access to the incident reporting system.
- (4) The incident reporting system shall be capable of generating reports that detail incident types and these reports shall be delivered to the Commission or Director on request or as required on a schedule set by the Commission or Director.
- (5) The incident reporting system shall be in an electronic format equipped with software that prevents modification of an entry after it has been initially entered into the system.
- (6) The incident reporting system shall document the:
 - (A) assignment number of the incident;
 - (B) incident category or type;
 - (C) date and time;
 - (D) name and position of the Individuals documenting and responding to the incident;
 - (E) nature of the incident; and
 - (F) resolution of the incident.
- (7) All recordings required by this Rule shall be retained for a minimum retention period of 30 Days. Suspected crimes, recordings of Player disputes subject to Rule .0421 of this Subchapter, illegal activity, or detentions by Wagering Facility security personnel discovered within the initial retention period shall be copied and retained for a period not less than five years.
- (8) At minimum, all security video recordings shall be retained for a minimum of 15 days.
- (9) An Operator shall retain any recoding beyond the applicable minimum retention period specified in this Rule when requested to do so by the Commission, as required by law, or as needed to conform to applicable internal controls.
- (10) An Operator shall produce recordings to the Commission upon request as well as to any other authorized Person or government authority, as required by law.

*History Note: Authority G.S. 18C-114(a)(14);
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